



IRISH FINANCIAL SERVICES
REGULATORY AUTHORITY

ÚDARÁS RIALÁLA SEIRBHÍSI
AIRGEADAIS NA HÉIREANN

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Statement of Authorised Status

McGivern Flynn Financial Services Limited is authorised under Section 10 of the Investment Intermediaries Act, 1995, (as amended).

As an Authorised Advisor, McGivern Flynn Financial Services Limited is authorised to provide broad based investment advice and to receive and transmit orders to product producers from whom a written letter of appointment is held, in relation to:

1. Insurance policies,
2. Personal retirement savings accounts (PRSAs).

When receiving and transmitting orders in relation to insurance policies and/or PRSAs, the firm may only accept cash or cheques/bank drafts from clients payable to itself where:

- (i) an insurance undertaking has invited renewal of a policy of insurance, or
- (ii) a proposal for insurance and/or PRSAs has been accepted by an insurance undertaking.

In all other circumstances the firm may only accept cheques/bank drafts or other payment instruments made payable to the product producer.

For and on behalf of the Irish Financial Services Regulatory Authority:

Signed: 

Signed: 

SECURITIES and EXCHANGES
SUPERVISION
29 OCT 2003